

Attorneys at Law

2000 Meidinger Tower 462 South Fourth Avenue Louisville, Kentucky 40202 (502) 540-2300 Fax (502) 585-2207

John E. Selent (502) 540-2315 (Direct Dial) selent@dinslaw.com Cincinnati (513) 977-8200 Fax (513) 977-8141 Columbus (614) 628-6880 Fax (614) 628-6890 Covington (606) 292-2502 Fax (513) 977-8141

**Dayton** (937) 449-6400

Fax (937) 449-6405

Lexington (606) 425-1000 Fax (606) 425-1099

www.dinslaw.com

January 14, 2000

Helen C. Helton Executive Director Public Service Commission 730 Schenkel Lane P.O. Box 615 Frankfort, KY 40602-0615

RE: SBC Telecom, Inc.

RECEIVED

JAN 1 4 2000

CONTINUESION STRANCE

05021240

Dear Ms. Helton:

With this letter I am filing an original and four copies of the notice of intent to provide competitive local exchange carrier service of SBC Telecom, Inc., and all the information required to be filed therewith by the order dated January 8, 1998, of the Public Service Commission of the Commonwealth of Kentucky in Administrative Case No. 370.

Thank you and if you have any questions with respect to this matter, please call me..

Very truly yours,

DINSMORE & SHOHL LLP

John E. Selent

JES/bmt Enclosures Helen C. Helton January 14, 2000 Page 2

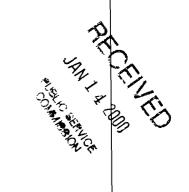
cc: Steven D. Stickland, Esq. (w/o enclosures) William A. Adams, Esq. (w/o enclosures)

# KENTUCKY NOTICE OF INTENT TO PROVIDE SERVICE OF SBC TELECOM, INC.

SBC Telecom, Inc. ("SBC Telecom") submits this notice of intent to provide facilities-based and resold local exchange services, including operator services, to customers within the Commonwealth of Kentucky.

1. Name, address, telephone and fax number of the Company:

SBC Telecom, Inc. 175 E. Houston Street San Antonio, Texas 78205 Attn: Vice President and General Counsel (210) 351-3427 (telephone) (210) 351-3630 (fax)



- 2. Articles of Incorporation. See Attachment 1.
- 3. Authorization to Conduct Business in Kentucky. See Attachment 2.
- 4. Representatives for ongoing operations and correspondent.
  - a. Questions concerning this notice and tariff should be directed to:

John E. Selent, Esq.
Dinsmore & Shohl LLP
2000 Meidinger Tower
462 S. 4th Avenue
Louisville, KY 40202
(502) 540-2300 (Telephone)
(502) 585-2207 (Fax)

Thomas W. Hartmann, Esq. Vice President and General Counsel SBC Telecom, Inc. 175 E. Houston Street, Room 1256 San Antonio, Texas 78205 (210) 351-3427 (telephone) (210) 351-3630 (fax) thartma@corp.sbc.com (e-mail)

OF COUNSEL:
William A. Adams, Esq.
ARTER & HADDEN LLP
One Columbus
10 West Broad Street, Suite 2100
Columbus, Ohio 43215
(614) 221-3155 (telephone)
(614) 221-0479 (fax)
wadams@arterhadden.com (e-mail)

#### b. Corporate Contact

Kevin M. Chapman
Director-Regulatory Relations
SBC Telecom, Inc.
175 E. Houston Street
Room 4-R-06
San Antonio, Texas 78205
(210) 351-5093 (telephone)
(210) 554-7136 (fax)
kchapma@corp.sbc.com (e-mail)

#### c. Customer Service

Jacqueline Luzier
Executive Director-Customer Care
SBC Telecom, Inc.
5850 W. Las Positas
Room 756
Pleasanton, California 94588
(925) 468-5390 (telephone)
(925) 468-4724 (fax)
jl1758@pbcomm.com (e-mail)

- 5. SBC Telecom has not provided service in Kentucky prior to filing this Notice of Intent. See notarized statement at Attachment 3.
- 6. SBC Telecom does not seek to provide operator assisted services to traffic aggregators as defined in Administrative Case No. 330. SBC Telecom, however, will provide operator assisted call completion services to its customers.
- 7. SBC Telecom's pro forma tariff (not including pricing) is set forth at Attachment 4. SBC Telecom will amend the tariff prior to offering service to add prices after it completes its network implementation strategy and obtains requisite interconnection agreements. SBC Telecom is filing this Notice now so that it can commence the process of obtaining the requisite interconnection agreements.

Signed

Kevin M. Chapman

Director-Regulatory Relations

SBC Telecom, Inc.

Articles of Incorporation

# State of Delaware Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARD. DO BEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SBC NATIONAL, INC.", CEANGING ITS NAME FROM "SEC NATIONAL, INC." TO "SBC TELECOM, INC.", FILED IN THIS OFFICE ON THE FOURTEENTH DAY OF OCTOBER, A.D. 1999, AT 10 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORMARDED TO THE MEW CASTLE COUNTY RECORDER, OF DEEDS

2969037 8100

991434470



Edward J. Freel, Secretary of State
0026606

AUTHENTICATION:

10-14-99

DATE

CERTIFICATE OF AMENDMENT
OF
CERTIFICATE OF INCORPORATION
F OF
SBC NATIONAL, INC.

SBC National, Inc., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware, DOES HEREBY CERTIFY:

FIRST: That, by unanimous written consent of the Board of Directors of

3B. National, Inc., of action taken in lieu of a special meeting, in accordance with Section

141 (f) of the General Corporation Law of the State of Delaware, resolutions were duly adopted

setting forth a proposed amendment to the Certificate of Incorporation of said corporation,

dec aring said amendment to be advisable and calling for a meeting of the shareholder of the said

con oration for consideration thereof.

SECOND: That thereafter, in accordance with the above resolutions, the sole shareholder of said corporation, by a unanimous written consent of the shareholder in lieu of a special meeting of the shareholder, in accordance with Section 228 of the General Corporation Law of the State of Delaware, approved the amendment to ARTICLE ONE as follows:

### ARTICLE ONE

"The name of the corporation is SBC Telecom, Inc."

THIRD: That said amendment was duly adopted in accordance with the provisions of

Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said SBC National, Inc. has caused this certificate to be signed by Stephen M. Carter, its Chairman of the Board of Directors, and artested by Ec ward L. Eckhart, its Vice President, General Counsel and Secretary, this it day of October, 1999.

SBC NATIONAL, INC.

By:

Stephen M. Carter

Chairman of the Board

All ist:

Edward F. Dala

Vice President, General Counsel and Secretary

# State of Delaware Office of the Secretary of State

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT
COPY OF THE CERTIFICATE OF INCORPORATION OF "SBC NATIONAL,
INC.", FILED IN THIS OFFICE ON THE TWENTIETH DAY OF NOVEMBER,
A.D. 1998, AT 11:15 O'CLOCK A.M.



Edward J. Freel, Secretary of State

**AUTHENTICATION:** 

DATE:

9420002

981449820

2969037 8300

11-23+98

# CERTIFICATE OF INCORPORATION OF SBC NATIONAL, INC.

#### ARTICLE ONE

The name of the corporation is SBC National, Inc.

#### ARTICLE TWO

The address of the registered office of the Corporation in the State of Delaware is Corporation Trust Center, 1209 Orange Street, Wilmington, New Castle County, Delaware 19801. The name of the registered agent of the Corporation at such address is The Corporation Trust Company.

#### ARTICLE THREE

The purpose of the Corporation is to engage in any lawful act or activity for which Corporations may be organized under the General Corporation Law of the State of Delaware.

#### ARTICLE FOUR

The total number of shares of stock which the Corporation is authorized to issue is one thousand (1,000) shares of common stock, having a par value of one dollar (\$1.00) per share.

#### ARTICLE FIVE

The business and affairs of the Corporation shall be managed by the Board of Directors. The directors need not be elected by ballot unless required by the Bylaws of the Corporation.

No director of this Corporation shall be liable to this Corporation or its stockholders for monetary damages, for breach of fiduciary duty as a director, except for liability 1) for any breach of the director's duty of loyalty to the Corporation or its stockholders; 2) for acts or omissions not in good faith of which involve intentional misconduct or knowing violation of the law; or 3) for any transaction from which a director derived an improper benefit.

#### ARTICLE STX

In furtherance and not in limitation of the powers conferred by the laws of the State of Delaware, the Board of Directors is expressly authorized to adopt, amend or repeal the Bylaws.

#### ARTICLE SEVEN

The initial Board of Directors of the Corporation shall be constituted of the following individuals having the respective business office addresses stated herein:

Stephen M. Carter Liam S. Coonan Terry Bailey One Bell Plaza, Dallas, Texas 75202 175 East Houston, San Antonio, TX 78205 One Bell Plaza, Dallas, Texas 75202

#### ARTICLE EIGHT

The Corporation reserves the right to amend and repeal any provision contained in this Certificate of Incorporation in the manner prescribed by the laws of the State of Delaware. All rights herein conferred are granted subject to this reservation

#### ARTICLE NINE

The incorporator is Wayne A. Wirtz, whose mailing address is 175 East Houston Street, San Antonio, Texas 78205.

I, the undersigned, being the incorporator, for the purpose of forming a corporation under the laws of the State of Delaware do make, file and record this Certificate of Incorporation, do certify that the facts herein stated are true, and, accordingly, have hereto set my hand this 20th day of November, 1998.

Wayne A. Wirtz

Authorization to Conduct Business in Kentucky

### BOOK 00551 160164 **COMMONWEALTH OF KENTUCKY** JOHN Y. BROWN III **SECRETARY OF STATE**



RECEIVED & FILED

### APPLICATION FOR CERTIFICATE OF AUTHORITY

Pursuant to the provisions of KRS Chapter 271B, 273 or 274, the undersigned hereby applies for authority to transact business.  Kentucky on behalf of the corporation named below and for that purpose submits the following statements:	ss in ·
1. The corporation is a business corporation (KRS 271B). a nonprofit corporation (KRS 273). a professional service corporation (KRS 274).	
2. The name of the corporation is SBC Telecom, Inc.	
The name of the corporation to be used in Kentucky is SBC Telecom, Inc.	
(If "real name" is unavailable for use)	
4. Delaware is the state or country under whose law the corporation is incorporated.	
5. November 20, 1998 is the date of incorporation and the period of duration is perpetual	
6. The street address of the corporation's principal office is	
175 East Houston Street, San Antonio, Texas 78205	
7. The street address of the corporation's registered office in Kentucky is	
c/o C T Corporation System, Kentucky Home Life Building, Louisville, KY 402	02
and the name of the registered agent at that office is	
C T CORPORATION SYSTEM	
8. The names and usual business addresses of the corporation's current officers and directors are as follows:  President please see attached  Vice President	
Directors please see attached	
(Attach a continuation sheet, if necessary)	
<ol> <li>9. If a professional service corporation, all the individual shareholders, not less than one half of the directors, and all of the than the secretary and treasurer are licensed in one or more states or territories of the United States or District of Colu a professional service described in the statement of purposes of the corporation.</li> <li>10. A certificate of existence duly authenticated by the Secretary of State accompanies this application.</li> </ol>	
10. The definition of existence daily additional and by the decretary of state accompanies this application.	l
11. This application will be effective upon filing, unless a delayed effective date and/or time is specified:    Colleged effective date and/or time is specified:   Colleged	
Date: November 30	, 19 <u>49</u>
C T CORPORATION SYSTEM consent to serve as the registered agent on behalf of the co	rporation.
Signature of Registered Agent  E. 4. Weillace, Ass't Seey	
Type or Print Name & Title	<del></del>

KY019 · CT System Online

(See attached sheet for instructions)

## SBC TELECOM, INC. DIRECTORS AND OFFICERS

Stan Sigman, Chairman 175 East Houston Street, San Antonio, Texas 78205

Ron Blake, Director and President 2000 W. Ameritech Center Drive Room 4-F-82 Hoffman Estates, Illinois 60196

Wayne Watts, Director 175 East Houston Street, San Antonio, Texas 78205

Patricia Diaz Dennis, Senior Vice President-Regulatory and Public Affairs 175 East Houston Street, San Antonio, Texas 78205

Paul Roth, Vice President 111 Soledad, 16th Floor, San Antonio, Texas 78205

Timothy Harden, Vice President 2600 Camino Ramon, Ste. 4CN100, San Ramon, Calif. 94583

Thomas R. Hartmann, Vice President, General Counsel and Secretary 175 East Houston Street, San Antonio, Texas 78205

Mark Boright, Vice President and Chief Financial Officer 111 Soledad, Room 1614, San Antonio, Texas 78205

Michael D. Wagner, Treasurer 175 East Houston Street, San Antonio, Texas 78205

Document No.: DN1999197477

Lodged By: MAIL

Recorded On: 12/10/1999

09:15:58

Total Fees: Transfer Tax: 9.00

County Clerk: Bobbie Holsclaw-JEFF CO KY

Deputy Clerk: PENWIM

END OF DOCUMENT

### Affidavit

The undersigned attests that SBC Telecom, Inc. has not provided service in the Commonwealth of Kentucky prior to the filing of this Notice of Intent to provide service.

Kevin M. Chapman

**Director-Regulatory Relations** 

This document was signed in my presence on the

 $\frac{1}{2}$  day of

2000.

GINA M. THOMAS-SHAKIR Notary Public, State of Texas My Commission Expires Sept. 27, 2003

Notary/Public

Tariff